



STATE ETHICS COMMISSION

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GEORGE L. WAINWRIGHT, JR.
CHAIRMAN

PERRY Y. NEWSON
EXECUTIVE DIRECTOR

November 25, 2015

Secretary Frank L. Perry
Department of Public Safety
512 N. Salisbury St.
Raleigh, North Carolina 27604

Via e-mail

Re: Evaluation of Your Statement of Economic Interest

Dear Secretary Perry:

Our office is in receipt of **your** 2013 Statement of Economic Interest and 2014-2015 "No Change" forms, as an employee of the **NC Department of Public Safety**. We have reviewed them for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S."), also known as the State Government Ethics Act.

We did not find an actual conflict of interest or the potential for a conflict of interest.

The State Government Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. N.C.G.S. §138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. N.C.G.S. §138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client(s), a member of the public servant's extended family, or a business or non-profit with which the public servant or a member of the public servant's immediate family is associated may receive a reasonably foreseeable financial benefit.

In addition to the conflicts standards noted above, N.C.G.S. §138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gifts restrictions are set out in N.C.G.S. §138A-32(e).

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement. **Our records show you will be due for ethics education 6/5/17.**

Secretary Frank L. Perry
November 25, 2015
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Please contact our office if you have any questions concerning this evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,

A handwritten signature in black ink that reads "Stacey A. Phipps". The signature is written in a cursive style with a large initial 'S'.

Stacey A. Phipps
SEI Unit

cc: Mr. Will Polk, Ethics Liaison

Attachment: Ethics Education Flyer