

NORTH CAROLINA

EMPLOYMENT CONTRACT

WAKE COUNTY

THIS AGREEMENT, made and entered 23rd day of December 2010, by and between the Wake County Board of Education, hereinafter referred to as "Board," and Anthony Tata, hereinafter referred to as "Superintendent";

WHEREAS, the Board desires to provide the Superintendent with a written employment contract in order to enhance administrative stability and continuity within the schools which the Board believes generally improves the quality of its overall educational program; and

WHEREAS, the Board and the Superintendent believe that a written employment contract is necessary to describe specifically their relationship and to serve as the basis of effective communication between them as they fulfill their governance and administrative functions in the operation of the education program of the schools;

NOW, THEREFORE, the Board and the Superintendent, for the consideration herein specified, agree as follows:

1. TERM

The Board, in consideration of the promises herein contained of the Superintendent, hereby employs Anthony J. Tata as Superintendent of Schools for a term beginning January 31, 2011 and ending June 30, 2014. Beginning in 2011 at the time of the completion of the Superintendent's annual summative evaluation, the Board will consider whether by specific action and with the consent of the Superintendent to extend the termination date of the existing contract to the extent permitted by state law.

2. PROFESSIONAL CREDENTIALS AND RESPONSIBILITIES OF SUPERINTENDENT

A. CREDENTIALS. The Superintendent shall furnish all documentation required by the N.C. Department of Public Instruction for verification of appropriate credentials for serving as superintendent.

B. DUTIES. The Superintendent shall have charge of the administration of the schools under the direction of the Board. He shall be the chief executive officer of the Board; shall serve as secretary to the Board; and be entitled to attend all Board and Board committee meetings (unless the Board has entered closed session to discuss his own performance); shall direct and assign teachers and other employees of the schools under his supervision; shall organize, reorganize and arrange the administrative and supervisory staff, including instruction and business affairs, as best serves the Wake County Public School System, provided the Superintendent shall provide advance notice to the Board at a Board meeting prior to the administrative transfer of any school principal(s); shall select all personnel subject to the approval of the Board; shall have the authority to immediately accept resignations of personnel for and on behalf of the Board; shall from time to time suggest regulations, rules and procedures deemed necessary for the well ordering of the school district; and in general shall perform all duties incident to the office of the Superintendent and such other duties as may be prescribed by law or by the Board from time to time, including but not limited to any duties identified by the Board in establishing the Superintendent's annual performance criteria, which will be developed in consultation with the Superintendent. The Board individually and collectively, shall promptly refer all criticisms, complaints, and suggestions called to its attention to the Superintendent for study and recommendation and shall refrain from individual interference with the administration of school policies except through Board action. This is an agreement for the performance of professional services as superintendent by the Superintendent, who shall not be assigned to any other position during the term of this contract.

3. COMPENSATION

A. ANNUAL AGGREGATE SALARY. The Superintendent shall be paid an annual aggregate salary in the amount of Two Hundred Fifty Thousand Dollars (\$250,000.00). The annual aggregate salary paid the Superintendent from state and local funds shall be paid in equal monthly installments in accordance with the rules of the Board governing payment of other professional employees of the school administrative unit. At no time shall the annual aggregate salary of the Superintendent be decreased during the term of this contract.

B. LONGEVITY. In addition to his annual aggregate salary the Superintendent shall receive longevity pay based on the state schedule and local Board policy.

4. VACATION AND OTHER BENEFITS

A. The Superintendent shall receive annual leave and sick leave as provided by state law and North Carolina State Board of Education regulations. This annual leave and sick leave shall be taken in accordance with Board policy and State Board of Education regulations. This annual leave and sick leave may be carried forward as provided by law and Board policy, and payment for any accrued and unused annual leave at the termination of this contract shall be made in accordance with Board policy and State Board of Education regulations. In addition, in recognition of his many years of government service, the Board shall provide the Superintendent with 10 days of supplemental annual leave which may only be used after the Superintendent's state accrued annual leave has been exhausted and which may not be carried forward from year to year.

B. The Board shall pay the full premium for family medical and dental and individual vision insurance coverage for the Superintendent during the term of employment under any plan approved for other professional employees of the school administrative unit.

C. The Superintendent shall receive the same terminal pay and other personal benefits, including the use of any "cafeteria benefits plan" approved by the Board, as provided to other professional employees of the school administrative unit as permitted by law.

D. The Board will provide the Superintendent with term life insurance coverage at two times the amount of his annual base salary.

E. The Board will provide the Superintendent with disability insurance coverage at the rate of 60% of Superintendent's predisability earnings.

F. The Board, at the request of the Superintendent and in accordance with state law, shall withhold and transfer an amount of salary annually or semi-annually or monthly, said amount to be determined by the Superintendent, from the Superintendent's annual salary into any annuity or retirement program chosen by the Superintendent.

G. With prior approval of the Chairman of the Board, the Board shall pay the Superintendent's membership charges, not to exceed Fifteen Hundred Dollars (\$1500.00), for membership in up to five (5) professional or civic organizations as the Superintendent feels are necessary to maintain and improve his professional skills, civic involvement, or to advance the mission of the Wake County Board of Education.

H. The Board shall provide the Superintendent with a cellular or digital telephone, a home fax machine, office and home Internet access, laptop computer, and other electronic devices for effective modern communication. All maintenance and other monthly or recurring charges for the electronic equipment provided pursuant to this paragraph shall be at the expense of the Board, and all such equipment shall remain the property of the Board.

I. The Superintendent may convert up to ten (10) accrued vacation days each year, computed at 1/250 of the Superintendent's aggregate salary. The Superintendent shall notify the Chairman of the Board each time he exercises his option under this section. Compensation received under this section shall not be considered a part of the Superintendent's aggregate annual salary for any other provision of this Agreement.

J. The Board shall pay the reasonable and necessary moving expenses of the Superintendent for relocating to Wake County, subject to his obtaining three independent bids for these

expenses. The Board shall also provide the Superintendent with reimbursement for up to thirty (30) days temporary housing in Wake county not to exceed Two Thousand Dollars (\$2,000.00). For any days the Board desires the Superintendent's presence in the district prior to his official start date, the Board shall reimburse the Superintendent for all reasonable, related travel expenses and compensate him at his per diem rate of pay based on his compensation in Section 3 of this agreement.

5. PROFESSIONAL GROWTH OF SUPERINTENDENT

The Board expects the Superintendent to continue his professional development and expects him to participate in relevant learning experiences. The Superintendent should attend appropriate professional meetings at the local and state level at the Superintendent's discretion, and out-of-state meetings with the prior approval of the Chairman of the Board. The actual expenses of said attendance will be paid from the current operating funds of the Wake County School System in an amount and manner prescribed by Board policy. The Superintendent shall file itemized expense statements with the Finance Officer for reimbursement of these expenses in accord with Board Policy. Request for reimbursements of actual expenses in excess of Board approved per diem must be approved by the Chairman of the Board.

6. EXPENSES

The Board shall reimburse the Superintendent for reasonable expenses he incurs on behalf of the Board. The Board recognizes that the Superintendent shall incur such expenses from time to time as the Board's representative in the pursuit of educational excellence, private funding, grants and for other reasons. The Superintendent shall file itemized expense statements with the Finance Officer for reimbursement of these expenses. Reimbursement under this section must be approved by the Chairman of the Board.

7. TRANSPORTATION

The Board shall pay the Superintendent additional salary in the amount of Six Hundred Dollars (\$600.00) per month in exchange for his using his personal vehicle for in-county travel and foregoing

any reimbursement for his costs. This additional salary shall not be considered as part of his aggregate salary for the purpose of annual salary increases. Out-of-county travel shall be reimbursed as provided for in Section 6 of this Agreement.

8. BENEFITS OPTION.

As an inducement to forego retirement or other options which may become available to him, the Board will permit the Superintendent to forego the benefits provided under Sections 4B of this Agreement and receive the supplemental salary equivalent to the direct cost of those benefits to the Board, in lieu of receiving those benefits. The supplemental salary the Superintendent receives through this conversion of benefits shall not be considered a part of his aggregate salary for any other provision of this contract.

9. PROFESSIONAL LIABILITY

The Board agrees that it shall defend, hold harmless, and indemnify the Superintendent from any and all demands, claims, suits, actions and legal proceedings brought against the Superintendent in his individual capacity, or in his official capacity as agent and employee of the Board, provided the incident giving rise to any such demand, claim, suit, action or legal proceeding arose while the Superintendent was acting within the scope of his employment; and provided further, that such liability coverage is within the authority of the Board to provide under state law. The Board shall provide indemnity from liability as set forth above for all claims made and occurrences throughout the term of this contract and any extensions thereof. In no case will individual board members be considered personally liable for indemnifying the Superintendent against such demands, claims, suits, actions and legal proceedings.

10. MEDICAL EXAMINATION AND DISABILITY

A. **MEDICAL EXAMINATION.** The Superintendent hereby agrees to have an annual medical examination paid for by the Board. A statement from a licensed physician of the Superintendent's choice, certifying to the physical competency of the Superintendent to fulfill his

duties and responsibilities, shall be filed with the Chairman of the Board and treated as confidential information by the Board, and if the Board deems it necessary, the Superintendent agrees to authorize his physician to provide the Board with a copy of the complete results of his medical examination.

B. **DISABILITY.** If the Superintendent is unable to perform his essential functions by reason of disability for more than ninety (90) calendar days, and efforts to reasonably accommodate his disability do not enable him to perform his essential functions, the Board will cooperate fully with the Superintendent in his application for disability benefits.

11. **EVALUATION**

The Board and Superintendent shall meet no later than February 28, 2011 to:

- Review the Superintendent's plan of entry.
- Discuss and reach agreement on working protocols for the Superintendent and Board.
- Discuss and reach agreement on communication protocols for the Superintendent and Board.
- Discuss and reach agreement on Superintendent work priorities through June 30, 2011.

No later than May 30, 2011, the Superintendent shall present to the Board for consideration a proposal which outlines the Superintendent's performance evaluation process and specific performance criteria for 2011-2012. This proposal will also include for Board consideration a discretionary performance compensation plan for the Superintendent.

The Board shall meet with the Superintendent by August 31 each year to finalize the Superintendent's annual performance goals. The Board shall provide the Superintendent with periodic opportunities to discuss Superintendent-Board relationships. The Board shall provide the Superintendent with a written annual evaluation of his performance no later than August 31 of each year. As part of the annual evaluation process the Board shall determine whether the Superintendent will be awarded an additional salary increase or performance-based compensation or both.

12. CONSULTING AND OUTSIDE EMPLOYMENT

The duties and responsibilities of the Superintendent require full-time employment and frequently require that the Superintendent attend to his duties during the evenings, weekends and holidays. The Superintendent shall not accept any outside employment that in any manner interferes with the performance of his duties and responsibilities as Superintendent of the Wake County Public School System. The Board grants to the Superintendent, subject to prior approval of the Chairman of the Board, the opportunity to accept private consulting and speaking or teaching engagements and appointments to foundations, boards or commissions that do not interfere with the Superintendent's performance of his duties under this agreement. Annual leave time must be taken to perform any private consulting or other outside employment during normal weekday work hours. The Superintendent shall make a written report to the Board no later than June 1 of each year listing all outside employment he has performed during the preceding year.

13. CONFLICT OF INTEREST PROHIBITED

The Superintendent acknowledges that he has read and understands the conflict of interest statutes of the State of North Carolina and agrees to comply with the statutes and any other State laws or Board policies relating to conflicts of interest.

14. TERMINATION

Throughout the term of this contract the Superintendent shall be subject to discharge for good and just causes as provided by the North Carolina General Statutes § 115C-274 and including but not limited to the grounds specified in § 115C-325(e)(1), provided, however, that the Board does not arbitrarily or capriciously call for his dismissal and that the Superintendent shall have the right to written charges, a fair hearing before the Board, and ten (10) days' written notice of said charges and hearing. At any such hearing before the Board, the Superintendent shall have the right to be present and to be heard, to be represented by counsel and to present through witnesses any testimony relevant to the issue. A transcript of the record of the proceedings before the Board shall be made available

without charge to the Superintendent. If the Superintendent chooses to be accompanied by legal counsel at the hearing before the Board, he will assume the cost of his legal expenses.

15. UNILATERAL TERMINATION BY THE BOARD

The Board may, at its option, unilaterally terminate this contract. In the event of such termination the Board shall pay to the Superintendent, as severance pay, the annual aggregate salary he would have earned pursuant to Section 3A of this Agreement for the upcoming twelve (12) months of the contract or the remaining time of the contract, whichever is less. In the event that the Board offers to terminate the contract by paying the amount specified herein, the right to a hearing before the Board, as specified in Section 14 above, and the right to appeal the Board's actions shall be considered waived by the Superintendent.

16. RESIGNATION

The Superintendent shall give at least ninety (90) calendar days' written notice to the Board if he intends to resign prior to the end of the term of this contract or extensions thereto. In the event that he fails to give the required notice, the Superintendent agrees to pay the Board Thirty Thousand Dollars (\$30,000) which the parties agree is a reasonable and proper measure of damages that will be incurred by the Board by an untimely resignation by the Superintendent. Furthermore, the Board, in its sole discretion, may terminate this contract at any time after the Superintendent has given written notice that he intends to resign prior to the end of his term of employment. In such event the Superintendent shall be entitled to be paid through the date of his resignation and paid for any unused state accrued annual leave; the provisions of Section 14 of this contract shall not apply and, if the Superintendent has given 90 days prior written notice of resignation, the \$30,000.00 damage payment described above shall be waived. In addition, if an unexpected severe medical condition or emergency arises that directly involves the Superintendent or his spouse which prevents the Superintendent from giving 90 days prior written notice of resignation, the \$30,000 damage payment described above shall be waived.

17. AMENDMENT

The Agreement may be amended during its term by mutual written consent of the Board and the Superintendent. Any such amendment shall be in writing, approved by official action of the Board, and accepted in writing by the Chairman of the Board and the Superintendent.

18. SAVINGS CLAUSE

If, during the term of this contract, it is found that a specific clause of the contract is illegal under federal or state law, the remainder of the contract not affected by such a ruling shall remain in force.

19. LAW

This Agreement shall be governed by, construed and enforced in accordance with the law of the State of North Carolina.

20. FILING

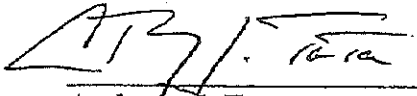
A copy of this contract shall be filed with the Superintendent of Public Instruction in North Carolina.

21. ENTIRE AGREEMENT

The parties hereto agree that this instrument contains the entire agreement between them as of this date, and that it has not been induced by either party by any representations, promises or undertakings not expressed herein, and that there are no collateral agreements, stipulations, promises or understandings whatsoever by the respective parties in any way affecting the subject matter of this contract which are not expressly contained in this instrument.

IN TESTIMONY THEREOF, the Wake County Board of Education has approved this Agreement and caused this instrument to be executed in its name by its Chairman, and duly attested to, all by order and resolution of the Board, and Anthony Tata has accepted this Agreement and has hereunto set his hand and seal, this the day and year first above written.

SUPERINTENDENT




Anthony J. Tata (SEAL)



WAKE COUNTY BOARD OF EDUCATION



Ron Margiotta, Chair


ATTEST:

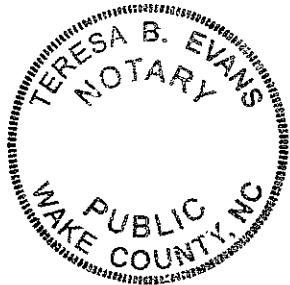
Debra Goldman, Vice-Chair

STATE OF NORTH CAROLINA
COUNTY OF WAKE

I, Teresa B. Evans, a Notary Public, do hereby certify that Ronald A. Margiotta, personally appeared before me, with whom I am personally acquainted, who, being by me duly sworn, says that Debra Goldman, is Vice Chair of the Board and that he is Chair of the Wake County Board of Education, the corporation described in and which executed the foregoing and annexed instrument; that he knows the common seal of said corporation, that the seal affixed to the foregoing instrument is said common seal, that the name of the corporation was subscribed thereto by said Chair and that said Chair and Vice Chair subscribed their names thereto and said common seal was affixed, all by order of the members of the Wake County Board of Education, and said instrument is the act and deed of said corporation.

Witness my hand and official seal this the 7th day of January, 2011.

(Official Seal)



Teresa B. Evans
Notary Public

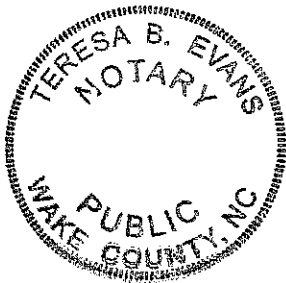
My Commission expires 12-14-2013

STATE OF NORTH CAROLINA
COUNTY OF WAKE

I, Teresa B. Evans, a Notary Public for said County and State, do hereby certify that Anthony J. Tata personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and notarial seal this the 7th day of January, 2011.

(Official Seal)



Teresa B. Evans
Notary Public

My Commission expires 12-14-2013

NORTH CAROLINA
WAKE COUNTY

FIRST AMENDMENT TO
SUPERINTENDENT'S CONTRACT


As authorized by the Wake County Board of Education ("Board") at its meeting on February 7, 2012, the contract between the Board and Anthony J. Tata dated December 23, 2010, is hereby amended as follows:

1. The term of the contract is extended to December 31, 2014.

IN TESTIMONY WHEREOF, the Wake County Board of Education has approved this Agreement and caused this instrument to be executed in its name by its Chairman, and duly attested, all by order and resolution of the Board, and Mr. Tata has accepted this Agreement and has hereunto set his hand and seal, this ____ day of _____, 2012.

SUPERINTENDENT

WAKE COUNTY BOARD OF
EDUCATION



Anthony Tata (Seal)



Kevin Hill, Chairman (Seal)

ATTEST:



Keith Sutton, Vice-Chairman (Seal)